



Lee & Nee

Softwares (Exports) Ltd.

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May 30, 2022

To, The Secretary BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai -400 001	To, The Secretary The Calcutta Stock Exchange Ltd. 7, Lyons Range Kolkata-700001
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Dear Sir /Madam,

Subject: Submission of Annual Secretarial Compliance Report for the Year Ended 31st March 2022

Please find enclosed herewith the Annual Secretarial Compliance Report dated 30th May, 2022 for the Financial year 2020-21 issued by Mrs. Rasna Goyal, Practicing Company Secretary and the Company's Secretarial Auditor.

You are requested to take the same on record.

Thanking You,

Yours Truly,

For Lee & Nee Softwares (Exports) Ltd.



 Pritika Gupta
 (Company Secretary Cum Compliance Officer)



**Annual Secretarial Compliance Report of
LEE & NEE SOFTWARES (EXPORTS) LIMITED
for the year ended 31st March, 2022**

[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

To,
LEE & NEE SOFTWARES (EXPORTS) LTD
14B, CAMAC STREET
KOLKATA -700017

I, Rasna Goyal, have examined:

- (a) all the documents and records made available to me and explanation provided by LEE & NEE SOFTWARE (EXPORTS) LTD ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March, 2022 ("Review Period") in respect of Compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The Specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(Not Applicable to the Company during the review period)**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the review period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable to the Company during the review period)**



- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the review period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the review period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participant) Regulations, 2018 And Circulars/ Guidelines issued thereunder;
- (j) Other Rules/Regulations and Circulars/Guidelines issued thereunder applicable to the Listed entity –
- SEBI Circular regarding 'Fund raising by issuance of Debt Securities by Large Entities'- SEBI/HO/DDHS/CIR/P/2018/144 dated November 26, 2018 **(Not applicable during the FY 2021- 22)**
 - Clause 6A and 6B of SEBI Circular – CIR/CFD/CMD1/114/2019 dated October 18, 2019 **(Not applicable during the FY 2021- 22)**

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

SR.No.	COMPLIANCE REQUIREMENT (REGULATIONS/ CIRCULARS / GUIDELINES INCLUDING SPECIFIC CLAUSE)	DEVIATIONS	OBSERVATIONS/ REMARKS OF THE PRACTICING COMPANY SECRETARY

- (b) The Listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from my examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its Promoters/ Directors/ Material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ Guidelines issued there under:

SR.No.	ACTION TAKEN BY	DETAILS OF VIOLATION	DETAILS OF ACTION TAKEN E.G. FINES, WARNING LETTER, DEBARMENT, ETC.	OBSERVATIONS/ REMARKS OF THE PRACTICING COMPANY SECRETARY, IF ANY.



(d) The Listed entity has taken the following actions to comply with the observations made in previous reports:

SR.NO	OBSERVATIONS OF THE PRACTICING COMPANY SECRETARY IN THE PREVIOUS REPORTS	OBSERVATIONS MADE IN THE SECRETARIAL COMPLIANCE REPORT FOR THE YEAR ENDED.... (THE YEARS ARE TO BE MENTIONED)	ACTION TAKEN BY THE LISTED ENTITY, IF ANY	COMMENTS OF THE PRACTICING COMPANY SECRETARY ON THE ACTIONS TAKEN BY THE LISTED ENTITY
Not Applicable				



Place: Kolkata
Date: 30/05/2022

UDIN: F009096D000427932

RASNA GOYAL
Practising Company Secretary
Rasna Goyal
CP No.9209

Rasna Goyal
Practicing Company Secretary
FCS NO.: 9096 CP NO.: 9209